

Please type a plus sign (+) inside this box →



03. 10. 08

*DFC* *gaw*

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/627,447

Filing Date July 24, 2003

First Named Inventor HUANG, YADONG

Group Art Unit 1641

Examiner Name LAM, ANN Y

Attorney Docket Number UCAL-281

Total Number of Pages in This Submission 3

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> <b>Form 2038 (1 page)</b><br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> <b>Notification of Change of Entity Status (1 page)</b><br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>Return Receipt Postcard</li></ul> |
|--|---|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent (Reg. No.)

PAULA A. BORDEN, PH.D., 42,344  
BOZICEVIC, FIELD & FRANCIS, LLP

Signature

Date

March 6, 2008

EXPRESS MAIL LABEL NO. EM 118 900 435 US

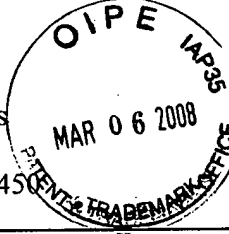
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

2008 MAR 12 PM 4: 03

IFW

<b>NOTIFICATION OF CHANGE OF ENTITY STATUS UNDER 37 C.F.R. §1.28(c)(1&amp;2)</b>  Address to: <b>Art Unit 1641</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCAL-281
	First Named Inventor	HUANG, YADONG
	Application Number	10/627,447
	Confirmation No.	3423
	Filing Date	July 24, 2003
	Group Art Unit	1641
	Examiner Name	Lam, Ann Y.



RECEIVED

MAR 17 2008

OFFICE OF PETITIONS

Sir:

This communication is to inform the U.S. Patent and Trademark Office that the above-identified patent application was inadvertently filed as a small entity. An itemized table explaining the fees paid/due is listed below:

<u>Date and Description of Fees Paid</u>	<u>Small Entity Fee Previously Paid</u>	<u>Current Large Entity Fee</u>	<u>Deficiency Owed</u>
<u>12/22/06</u> Claims in the Excess of 20	\$25.00	\$50.00	\$25.00
<u>08/02/07</u> Petition for a 4 Month Extension of Time	\$795.00	\$1,640.00	\$845.00
<b>Total Deficiency Owed:</b>			<b>\$870.00</b>

The total \$870.00 submitted herewith is the difference between the small entity fees paid and the current large entity fees due. Please update the Patent Office's records to reflect this application is entitled to large entity status.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, order number UCAL-217CON.

03/14/2008 DALLIN 00000018 10627447

01 FC:1461

870.00 DP

Respectfully submitted,

BOZICEVIC, FIELD &amp; FRANCIS LLP

Date: March 6, 2008

By:

Paula A. Borden, Ph.D.  
 Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP  
 1900 University Avenue, Suite 200  
 East Palo Alto, California 94303  
 Telephone: (650) 327-3400  
 Facsimile: (650) 327-3231